

3612

991.1162



**UNITED STATES PATENT AND TRADEMARK OFFICE**

Re: Application of: Mikko HANNINEN, et al.  
Serial No.: 09/937,970  
Filed: October 1, 2001  
For: METHOD AND DEVICE FOR SECURING  
HORIZONTALLY LOADED CARGO UNITS TO  
A VESSEL

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, DC 20231-9998

January 2, 2002

Dear Sir:

Pursuant to M.P.E.P. §609 and 37 C.F.R. §§1.56, 1.97-1.99, applicants herewith submit form PTO-1449 which lists references cited during the prosecution of the Finnish priority application, and in the International Search Report issued in connection with the corresponding International Application. A copy of the International Search Report is also enclosed.

I hereby certify that this correspondence and/or fee is being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Assistant Commissioner for Patents, Washington, D.C. 20231" on January 2, 2002.  
STEINBERG & RASKIN, P.C.

BY: Ginnette McPherson

REC'D  
FEB 05 2002  
GROUP

Serial No.: 09/937.970

This submission does not represent that a search has been made or that no better art exists. While the term "references" is used in citing the publications called to the examiner's attention herein, applicants do not make any admission that each or all of them are "prior art" references within the meaning of the statutory and case law.

Applicant reserves the right to contend, where appropriate, that a reference asserted against any claim of the present application is not prior art under the facts and the law.

Applicant also reserves the right to present appropriate arguments and/or evidence to establish patentability over the references, should one or more of the references be applied against the claims of the present application.

Applicant respectfully requests that the examiner independently determine those items which the examiner would consider the most pertinent of all the references cited herein.

Serial No.: 09/937.970

Applicant respectfully requests that the references be considered and made of record.

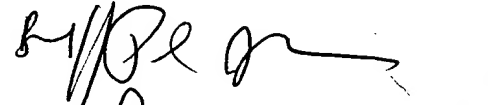
This Information Disclosure Statement is being filed before receipt of the first office action and therefore no fee is due for its submission.

Respectfully submitted,



Martin G. Raskin

Reg. No. 25,642



Paul J. Higgins  
Reg No 44,152

Steinberg & Raskin, P.C.  
1140 Avenue of the Americas, 15th Floor  
New York, NY 10036-5803  
Telephone: (212) 768-3800  
Facsimile: (212) 382-2124  
E-mail: sr@steinberggraskin.com

Encls.:

PTO-1449

Copy of International Search Report

11 References

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.: 991.1162

SERIAL NO.: 09/937,970

## LIST OF PRIOR ART CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT(S): Mikko HANNINEN, et al.

FILING DATE: October 1, 2001

GROUP: 3612

## U.S. PATENT DOCUMENTS

*EXAMINER'S INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4	4	9	7	2	7	1	02/1985	Gloystein	114	75	
	AB	4	2	9	4	1	8	5	10/1981	Nordstrom et al.	114	75	
	AC	3	7	7	6	1	6	9	12/1973	Strecker	114	72	
	AD	3	6	0	3	5	4	4	09/1971	Richard W. Griffith	248	119 S	
	AE	3	2	0	0	9	7	7	08/1965	B.E. Thouvenelle et al.	214	515	
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AL	8	6	3	9	5	5			Finland			Title	
	AM	9	9	0	1	3	0	4		WIPO			Abstract	
	AN	3	9	0	9	3	9			Sverige			X	
	AD	1	4	1	3	8	4	2		Gt. Britain			X	
	AP	9	7	3	0	8	9	0		WIPO			X	

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ	Article: "Gorthons nya skogsproduktfartygspecialutrustade för kasetter", Svensk Sjöfarts Tidning 46, 1994, page 18											
	AR												
	AS												

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.